

Federal Reserve System

§ 208.43

(p) *Total risk-weighted assets* means standardized total risk-weighted assets, and for an advanced approaches bank also includes advanced approaches total risk-weighted assets, as defined in §217.2 of Regulation Q (12 CFR 217.2).

[Regulation H, 78 FR 62282, Oct. 11, 2013, as amended at 80 FR 70672, Nov. 16, 2015]

EFFECTIVE DATE NOTES: 1. At 79 FR 24540, May 1, 2014, §208.41 was amended by redesignating paragraphs (c) through (j) as paragraphs (d) through (k), and by adding a new paragraph (c), effective Jan. 1, 2018. For the convenience of the user, the added and revised text is set forth as follows:

§ 208.41 Definitions for purposes of this subpart.

* * * * *

(c) *Covered BHC* means a covered BHC as defined in §217.2 of Regulation Q (12 CFR 217.2).

* * * * *

2. At 80 FR 49102, Aug. 14, 2015, §208.41 was amended as follows, effective Jan. 1, 2018:

a. Paragraph (c) as added on May 1, 2014 (79 FR 24540), is withdrawn.

b. The redesignation of paragraphs (c) through (j) as paragraphs (d) through (k) on May 1, 2014 (79 FR 24540), is withdrawn.

c. Paragraphs (g) through (p) are redesignated as paragraphs (h) through (q).

d. New paragraph (g) is added.

For the convenience of the user, the added and revised text is set forth as follows:

§ 208.41 Definitions for purposes of this subpart.

* * * * *

(g) *Global systemically important BHC* has the same meaning as in §217.2 of Regulation Q (12 CFR 217.2).

* * * * *

§ 208.42 Notice of capital category.

(a) *Effective date of determination of capital category.* A member bank shall be deemed to be within a given capital category for purposes of section 38 of the FDI Act and this subpart as of the date the bank is notified of, or is deemed to have notice of, its capital category, pursuant to paragraph (b) of this section.

(b) *Notice of capital category.* A member bank shall be deemed to have been notified of its capital levels and its capital category as of the most recent date:

(1) A Report of Condition and Income (Call Report) is required to be filed with the Board;

(2) A final report of examination is delivered to the bank; or

(3) Written notice is provided by the Board to the bank of its capital category for purposes of section 38 of the FDI Act and this subpart or that the bank's capital category has changed as provided in paragraph (c) of this section or §208.43(c).

(c) *Adjustments to reported capital levels and capital category*—(1) *Notice of adjustment by bank.* A member bank shall provide the Board with written notice that an adjustment to the bank's capital category may have occurred no later than 15 calendar days following the date that any material event occurred that would cause the bank to be placed in a lower capital category from the category assigned to the bank for purposes of section 38 and this subpart on the basis of the bank's most recent Call Report or report of examination.

(2) *Determination by Board to change capital category.* After receiving notice pursuant to paragraph (c)(1) of this section, the Board shall determine whether to change the capital category of the bank and shall notify the bank of the Board's determination.

§ 208.43 Capital measures and capital category definitions.

(a) *Capital measures.* For purposes of section 38 and this subpart, the relevant capital measures are:

(1) Total Risk-Based Capital Measure: The total risk-based capital ratio;

(2) Tier 1 Risk-Based Capital Measure: The tier 1 risk-based capital ratio;

(3) Common Equity Tier 1 Capital Measure: The common equity tier 1 risk-based capital ratio; and

(4) Leverage Measure:

(i) The leverage ratio; and

(ii) With respect to an advanced approaches bank, on January 1, 2018, and thereafter, the supplementary leverage ratio.

(b) *Capital categories applicable to all member banks.* For purposes of section